



## NOTICE OF EFFECTIVE FILING

**TO:** ISO

**DATE:** December 22, 2022

**FROM:** Milinda Tanner

---

**COMPANY FILING NUMBER:** ISO-CW-22-CR-02 **STATE:** North Carolina **EFFECTIVE DATE:** April 1, 2023

**ISO CIRCULAR:** LI-CR-2022-013

**ISO REFERENCE FILING NUMBER:** CR-2022-OAPL1

**TOI:** 26.0 Burglary & Theft / **SUB-TOI:** 26.0001 Commercial Burglary & Theft

**TOI:** 23.0 Fidelity / **SUB-TOI:** 23.0000 Fidelity

---

☒ **FORM** ☐ **RULE** ☐ **RATE**

**INCLUDED (if applicable)** ☐ **Company Exception Page\_LCM** ☐ **Company Exception Page\_ELR**

---

**PROGRAM:** Commercial Burglary & Theft / Fidelity

**CONTENTS INCLUDE:** Adoption of Multistate Applications

**MODIFICATIONS:** NONE

**COMMENTS:** NONE

---

**COMPANY(IES) FILED:**

- ☒ AIG ASSURANCE COMPANY
  - ☒ AIG PROPERTY CASUALTY COMPANY
  - ☒ AIU INSURANCE COMPANY
  - ☒ AMERICAN HOME ASSURANCE COMPANY
  - ☒ COMMERCE AND INDUSTRY INSURANCE COMPANY
  - ☒ GRANITE STATE INSURANCE COMPANY
  - ☐ ILLINOIS NATIONAL INSURANCE CO.
  - ☒ NEW HAMPSHIRE INSURANCE COMPANY
  - ☒ THE INSURANCE COMPANY OF THE STATE OF PENNSYLVANIA
-

## Disposition for AGNY-133430937

## Filing at a Glance

|  |   |
|--|---|
| <b>State:</b><br>North Carolina                                      | <b>SERFF Tracking Number:</b><br>AGNY-133430937   |
| <b>TOI:</b><br>26.0 Burglary and Theft                               | <b>State Tracking Number:</b>   |
| <b>Sub-TOI:</b><br>26.0001 Commercial Burglary and Theft             | <b>Company Tracking Number:</b><br>ISO-CW-22-CR-02  |
| <b>Filing Type:</b><br>Form  | <b>Product Name:</b><br>Adoption of ISO's Crime and Fidelity<br>Multistate Applications 165-000-602,019-000-<br>602, 229-000-010,102-000-602, 107-000-<br>602, 165-640-602, 130-000-602 |
| <b>First Filing Company:</b><br>American Home Assurance Company ,... | <b>Project Name:</b><br>Adoption of ISO's Crime and Fidelity<br>Multistate Applications   |
|  | <b>Destruction Date:</b>  |

**Disposition Date:**

12/22/2022

**Effective Date (New):**

04/01/2023

**Effective Date (Renewal):**

04/01/2023

**Status:** \*

Approved

**Comments:**

This references the captioned filing.

Your policy form filing is hereby approved in accordance with North Carolina General Statute 58-41-50. If the effective dates are changed, you must notify us in writing prior to the effective date.

## Schedule Items


| Item Type | Item Name  | Item Status | Public Access |
|-----------|--|-------------|---------------|
| Form      | CR AQ 10, 06 22, Application/Binder/Enrollment, COMMERCIAL CRIME INSURANCE APPLICATION         |             | Yes           |
| Form      | CR AQ 11, 06 22, Application/Binder/Enrollment, COMMERCIAL CRIME INSURANCE RENEWAL APPLICATION |             | Yes           |
| Form      | CR AQ 20, 06 22, Application/Binder/Enrollment, GOVERNMENT CRIME INSURANCE APPLICATION         |             | Yes           |
| Form      | CR AQ 21, 06 22, Application/Binder/Enrollment, GOVERNMENT CRIME                               |             | Yes           |

## INSURANCE RENEWAL APPLICATION

|                     |   |     |
|---------------------|---|-----|
| Form                | CR AQ 30, 06 22, Application/Binder/Enrollment, COMMERCIAL FIDELITY AND FORGERY INSURANCE APPLICATION         | Yes |
| Form                | CR AQ 31, 06 22, Application/Binder/Enrollment, COMMERCIAL FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION | Yes |
| Form                | CR AQ 40, 06 22, Application/Binder/Enrollment, GOVERNMENT FIDELITY AND FORGERY INSURANCE APPLICATION         | Yes |
| Form                | CR AQ 41, 06 22, Application/Binder/Enrollment, GOVERNMENT FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION | Yes |
| Form                | CR AQ 50, 06 22, Application/Binder/Enrollment, FRAUDULENT IMPERSONATION INSURANCE APPLICATION                | Yes |
| Form                | CR AQ 60, 06 22, Application/Binder/Enrollment, KIDNAP/RANSOM AND EXTORTION INSURANCE APPLICATION             | Yes |
| Supporting Document | Form Filing Questionnaire FC-048  | Yes |
| Supporting Document | Explanatory Memorandum - All Lines  | Yes |
| Supporting Document | Form Revision   | Yes |

Sincerely,  
Sharon Thornton-Hall

Home | About SERFF | Contact Us | naic.org



aigdbglegalstatefi

Tracking Number:

Filings

Messages

Billing

Settings

Filing Rules

Reports

Templates

Alerts (12)

My Workfolder

My Open Filings

My Draft Filings

Search

Create Filing

Create Paper Filing

Add Authors

Update

Compare Attachments


Create Reminder

Move to Workfolder

PDF Pipeline

North

[View Associated Filings](#) [View General Instructions](#)

 This Filing has been marked as public access.

**Product Name:** Adoption of ISO's Crime and Fidelity Multistate Applications 165-000-602, 019-000-602, 229-000-010, 102-000-602, 107-000-602, 165-640-602, 130-000-602

**TOI:** 26.0 Burglary and Theft

**Sub-TOI:** 26.0001 Commercial Burglary and Theft

**Filing Type:** Form

**Effective Date Requested (New):** 04/01/2023

**Effective Date Requested (Renewal):** 04/01/2023

**SERFF Tr Num:** AGNY-133430937

**State Tr Num:**

**Co Tr Num:** ISO-CW-22-CR-02

**Date Submitted:** 12/20/2022























**Authors:** Angel Manus, Milinda Tanner

**SERFF Status:** Closed-Approved

**State Status:** Approved

**Co Status:**

**Disposition Date:** 12/22/2022

| General Information  | Form Schedule   | Rate/Rule Schedule  | Supporting Documentation | Companies and Contact | Filing Fees | Filing Correspondence |                      |                   |  |                       |             |  |
|--|---|---|--------------------------|-----------------------|-------------|-----------------------|----------------------|-------------------|--|-----------------------|-------------|--|
| Form Count: 10   |   |   |                          |                       |             |                       |                      |                   |  |                       |             |  |
| Item No.   | Schedule Item Status  | Form Name *   | Form Number              | Edition Date          | Form Type * | Action *              | Action Specific Data | Readability Score | Attachments  | Submitted             |             |  |
| 1  |    | COMMERCIAL CRIME INSURANCE APPLICATION                        | CR AQ 10                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 10 06 22.pdf</a>   | Date Submi 12/20/2022 | By: Milinda |  |
| 2  |    | COMMERCIAL CRIME INSURANCE RENEWAL APPLICATION                | CR AQ 11                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 11 06 22.pdf</a>   | Date Submi 12/20/2022 | By: Milinda |  |
| 3  |    | GOVERNMENT CRIME INSURANCE APPLICATION                        | CR AQ 20                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 20 06 22.pdf</a>   | Date Submi 12/20/2022 | By: Milinda |  |
| 4  |  | GOVERNMENT CRIME INSURANCE RENEWAL APPLICATION                | CR AQ 21                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 21 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| 5  |  | COMMERCIAL FIDELITY AND FORGERY INSURANCE APPLICATION         | CR AQ 30                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 30 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| 6  |  | COMMERCIAL FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION | CR AQ 31                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 31 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| 7  |  | GOVERNMENT FIDELITY AND FORGERY INSURANCE APPLICATION         | CR AQ 40                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 40 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| 8  |  | GOVERNMENT FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION | CR AQ 41                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 41 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| 9  |  | FRAUDULENT IMPERSONATION INSURANCE APPLICATION                | CR AQ 50                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 50 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| 10   |  | KIDNAP/RANSOM AND EXTORTION INSURANCE APPLICATION             | CR AQ 60                 | 06 22                 | ABE         | New                   |                      |                   |  <a href="#">CR AQ 60 06 22.pdf</a> | Date Submi 12/20/2022 | By: Milinda |  |
| <b>Form Type Legend:</b> <div><div><div>• <b>ADV</b> = Advertising</div><div>• <b>BND</b> = Bond</div><div>• <b>CER</b> = Certificate</div><div>• <b>DSC</b> = Disclosure/Notice</div><div>• <b>END</b> = Endorsement/Amendment/Conditions</div><div>• <b>PCF</b> = Policy/Coverage Form</div></div><div><div>• <b>ABE</b> = Application/Binder/Enrollment</div><div>• <b>CNR</b> = Canc/NonRen Notice</div><div>• <b>DEC</b> = Declarations/Schedule</div><div>• <b>ERS</b> = Election/Rejection/Supplemental Applications</div><div>• <b>OTH</b> = Other</div></div></div> |   |   |                          |                       |             |                       |                      |                   |  |                       |             |  |
| <b>Icon Legend:</b>  - Draft Schedule Item  - Open Objection   |   |   |                          |                       |             |                       |                      |                   |  |                       |             |  |

Add Authors

Update

Compare Attachments

Create Reminder

Move to Workfolder

PDF Pipeline

SERFF Release 8.2.0 © Copyright 1997-2022, National Association of Insurance Commissioners. All Rights Reserved.

Terms

https://login.serff.com/serff/updateFilingView.do

1/1

## Disposition for AGNY-133433901

## Filing at a Glance

|  |   |
|--|---|
| <b>State:</b><br>North Carolina                                      | <b>SERFF Tracking Number:</b><br>AGNY-133433901   |
| <b>TOI:</b><br>23.0 Fidelity   | <b>State Tracking Number:</b>   |
| <b>Sub-TOI:</b><br>23.0000 Fidelity                                  | <b>Company Tracking Number:</b><br>ISO-CW-22-CR-02  |
| <b>Filing Type:</b><br>Form  | <b>Product Name:</b><br>Adoption of ISO's Crime and Fidelity<br>Multistate Applications 165-000-602,019-000-<br>602, 229-000-010,102-000-602, 107-000-<br>602, 165-640-602, 130-000-602 |
| <b>First Filing Company:</b><br>American Home Assurance Company ,... | <b>Project Name:</b><br>Adoption of ISO's Crime and Fidelity<br>Multistate Applications   |
|  | <b>Destruction Date:</b>  |

**Disposition Date:**

12/21/2022

**Effective Date (New):**

04/01/2023

**Effective Date (Renewal):**

04/01/2023

**Status:** \*

Acknowledged

**Comments:**

This references the captioned filing.

We acknowledge your letter of December 20, 2022 stating your company's intent to implement the referenced ISO forms. If the effective date is changed, you must notify us in writing prior to the effective date.

## Schedule Items


| Item Type | Item Name  | Item Status | Public Access |
|-----------|--|-------------|---------------|
| Form      | CR AQ 10, 06 22, Application/Binder/Enrollment, COMMERCIAL CRIME INSURANCE APPLICATION         |             | Yes           |
| Form      | CR AQ 11, 06 22, Application/Binder/Enrollment, COMMERCIAL CRIME INSURANCE RENEWAL APPLICATION |             | Yes           |
| Form      | CR AQ 20, 06 22, Application/Binder/Enrollment, GOVERNMENT CRIME INSURANCE APPLICATION         |             | Yes           |
| Form      | CR AQ 21, 06 22, Application/Binder/Enrollment, GOVERNMENT CRIME                               |             | Yes           |

## INSURANCE RENEWAL APPLICATION

|                     |   |     |
|---------------------|---|-----|
| Form                | CR AQ 30, 06 22, Application/Binder/Enrollment, COMMERCIAL FIDELITY AND FORGERY INSURANCE APPLICATION         | Yes |
| Form                | CR AQ 31, 06 22, Application/Binder/Enrollment, COMMERCIAL FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION | Yes |
| Form                | CR AQ 40, 06 22, Application/Binder/Enrollment, GOVERNMENT FIDELITY AND FORGERY INSURANCE APPLICATION         | Yes |
| Form                | CR AQ 41, 06 22, Application/Binder/Enrollment, GOVERNMENT FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION | Yes |
| Form                | CR AQ 50, 06 22, Application/Binder/Enrollment, FRAUDULENT IMPERSONATION INSURANCE APPLICATION                | Yes |
| Form                | CR AQ 60, 06 22, Application/Binder/Enrollment, KIDNAP/RANSOM AND EXTORTION INSURANCE APPLICATION             | Yes |
| Supporting Document | Form Filing Questionnaire FC-048  | Yes |
| Supporting Document | Explanatory Memorandum - All Lines  | Yes |
| Supporting Document | Form Revision   | Yes |

Sincerely,  
John Wrenn

Home | About SERFF | Contact Us | naic.org



aigdbglegalstatefi

Tracking Number:

Filings

Messages

Billing

Settings

Filing Rules

Reports

Templates

Alerts (12)

My Workfolder

My Open Filings

My Draft Filings

Search

Create Filing

Create Paper Filing

Add Authors

Update

Compare Attachments


Create Reminder

Move to Workfolder

PDF Pipeline

North

[View Associated Filings](#) [View General Instructions](#)

 This Filing has been marked as public access.

**Product Name:** Adoption of ISO's Crime and Fidelity Multistate Applications 165-000-602, 019-000-602, 229-000-010, 102-000-602, 107-000-602, 165-640-602, 130-000-602

**TOI:** 23.0 Fidelity

**Sub-TOI:** 23.0000 Fidelity

**Filing Type:** Form

**Effective Date Requested (New):** 04/01/2023

**Effective Date Requested (Renewal):** 04/01/2023

**SERFF Tr Num:** AGNY-133433901

**State Tr Num:**

**Co Tr Num:** ISO-CW-22-CR-02

**Date Submitted:** 12/20/2022























**Authors:** Angel Manus, Milinda Tanner

**SERFF Status:** Closed-Acknowledged

**State Status:** Acknowledged

**Co Status:**

**Disposition Date:** 12/21/2022

| General Information  |   | Form Schedule        | Rate/Rule Schedule  | Supporting Documentation | Companies and Contact | Filing Fees | Filing Correspondence |             |          |                      |                   |  |                                      |
|--|---|----------------------|---|--------------------------|-----------------------|-------------|-----------------------|-------------|----------|----------------------|-------------------|--|--------------------------------------|
| Form Count: 10   |   |                      |   |                          |                       |             |                       |             |          |                      |                   |  |                                      |
| Item No.   |   | Schedule Item Status | Form Name *   |                          |                       | Form Number | Edition Date          | Form Type * | Action * | Action Specific Data | Readability Score | Attachments  | Submitted                            |
| 1  |    |                      | COMMERCIAL CRIME INSURANCE APPLICATION                        |                          |                       | CR AQ 10    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 10 06 22.pdf</a>   | Date Submi 12/20/2022<br>By: Milinda |
| 2  |    |                      | COMMERCIAL CRIME INSURANCE RENEWAL APPLICATION                |                          |                       | CR AQ 11    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 11 06 22.pdf</a>   | Date Submi 12/20/2022<br>By: Milinda |
| 3  |   |                      | GOVERNMENT CRIME INSURANCE APPLICATION                        |                          |                       | CR AQ 20    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 20 06 22.pdf</a>   | Date Submi 12/20/2022<br>By: Milinda |
| 4  |  |                      | GOVERNMENT CRIME INSURANCE RENEWAL APPLICATION                |                          |                       | CR AQ 21    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 21 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| 5  |  |                      | COMMERCIAL FIDELITY AND FORGERY INSURANCE APPLICATION         |                          |                       | CR AQ 30    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 30 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| 6  |  |                      | COMMERCIAL FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION |                          |                       | CR AQ 31    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 31 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| 7  |  |                      | GOVERNMENT FIDELITY AND FORGERY INSURANCE APPLICATION         |                          |                       | CR AQ 40    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 40 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| 8  |  |                      | GOVERNMENT FIDELITY AND FORGERY INSURANCE RENEWAL APPLICATION |                          |                       | CR AQ 41    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 41 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| 9  |  |                      | FRAUDULENT IMPERSONATION INSURANCE APPLICATION                |                          |                       | CR AQ 50    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 50 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| 10   |  |                      | KIDNAP/RANSOM AND EXTORTION INSURANCE APPLICATION             |                          |                       | CR AQ 60    | 06 22                 | ABE         | New      |                      |                   |  <a href="#">CR AQ 60 06 22.pdf</a> | Date Submi 12/20/2022<br>By: Milinda |
| <b>Form Type Legend:</b> <div><div><div>• <b>ADV</b> = Advertising</div><div>• <b>BND</b> = Bond</div><div>• <b>CER</b> = Certificate</div><div>• <b>DSC</b> = Disclosure/Notice</div><div>• <b>END</b> = Endorsement/Amendment/Conditions</div><div>• <b>PCF</b> = Policy/Coverage Form</div></div><div><div>• <b>ABE</b> = Application/Binder/Enrollment</div><div>• <b>CNR</b> = Canc/NonRen Notice</div><div>• <b>DEC</b> = Declarations/Schedule</div><div>• <b>ERS</b> = Election/Rejection/Supplemental Applications</div><div>• <b>OTH</b> = Other</div></div></div> |   |                      |   |                          |                       |             |                       |             |          |                      |                   |  |                                      |
| <b>Icon Legend:</b>  - Draft Schedule Item  - Open Objection   |   |                      |   |                          |                       |             |                       |             |          |                      |                   |  |                                      |

Add Authors

Update

Compare Attachments

Create Reminder

Move to Workfolder

PDF Pipeline

SERFF Release 8.2.0 © Copyright 1997-2022, National Association of Insurance Commissioners. All Rights Reserved.

Terms

https://login.serff.com/serff/updateFilingView.do

1/1