

Umbrella Prime Mandatory Forms – as of 5/28/15 This product is not available in AK, VT & WA.

FORM NAME	FORM #	STATES	COMMENTS
Policyholder Notice – Commission	91222 (4/13)	All states except AK, VA, VT & WA	
Umbrella Prime Contract	80517 (11/09)	All states except AK, VA, VT & WA	
Umbrella Prime Contract - VA	105850 (2/11)	VA only	
Umbrella Prime Declarations	80518 (11/09)	All states except AK, KS, KY, LA, VT & WA	
Umbrella Prime Declarations	96532 (11/09)	KS only	
Umbrella Prime Declarations	81421 (11/09)	KY only	
Umbrella Prime Declarations	105735 (6/10)	LA only	
Umbrella Prime Declarations Addendum	74825 (11/09)	FL only	
Umbrella Prime Declarations Addendum	77846 (11/09)	MO only	
Addendum to the Declarations Signature Page	78711 (5/13)	All states except for AK, VT & WA	
Policyholder Disclosure Notice of Terrorism Insurance Coverage	96556 (1/15)	All states except AK, CA, FL, MA, OK, VA & WA	New filing approved in 45 states with additional approvals expected Filing AIG-15-TRIA-01
Claims Reporting Notice	117661 (9/14)	All states except AK, VT & WA	Lists the mailing address, fax # & email address for claims reporting
Economic Sanctions Endorsement (OFAC)	89644 (6/13)	All states except AK, VT & WA	
Violation of Economic or Trade Sanctions Condition Amendment Endorsement	99497 (6/08)	All states except AK, VA, VT & WA	Removes OFAC exclusion within contract which is replaced with endt 89644 (6/13)
Schedule of Underlying Insurance	80477 (7/02)	All states except AK, VT & WA	
CrisisResponse Exclusion	117617 (9/14)	Use in all states except AK, VT & WA. Note: CrisisResponse is not available for GL in FL	If CrisisResponse is provided on the scheduled GL attach this endt to remove CrisisResponse from the umbrella.
Access or Disclosure of Confidential or Personal Information Exclusion Endorsement	118632 (1/15)	All but AK, VT & WA	
Anti-Stacking Endorsement	80394 (9/03)	All but AL, CT, FL, KS, VT & WA	

Anti-Stacking	80394 (7/02)	Fl & KS only	
Cross Suits Exclusion Endorsement	80411 (1/04)	All but AK, CT, SD, VT & WA	
Cross Suits Exclusion	80411 (7/02)	SD only	
Fungus Exclusion Endorsement	82449 (6/03)	All but AK, SD, MA, NH, VT & WA	
Fungus Exclusion Endorsement (MA & NH Only)	89683 (9/05)	MA & NH only	
Lead Exclusion Endorsement	86471 (2/06)	All but AK, FL, ME, NH, VT & WA	
Lead Exclusion Endorsement	86471 (8/04)	FL only	
Radioactive Matter Exclusion Endorsement	83094 (9/03)	All but AK, VT & WA	
Silica Exclusion Endorsement	80479 (2/03)	All but AK, CT, VT & WA	
Act of Terrorism Self-Insured Retention Endorsement	83049 (3/06)	All but AK, FL, OH, OK, VA, VT & WA	
Certified Act of Terrorism Self-Insured Retention Endorsement (Florida Only)	91688 (11/06)	FL	
Act of Terrorism Self-Insured Retention Endorsement (Limited States Only)	93944 (1/07)	OH	
Act of Terrorism Self-Insured Retention Endorsement (Oklahoma Only)	94151 (3/07)	OK	
Act of Terrorism Self-Insured Retention Endorsement (Virginia Only)	105847 (12/10)	VA	
Act of Terrorism Self-Insured Retention Endorsement (Washington Only)	118598 (12/14)	WA	
Directors and Officers Liability Exclusion	80417 (7/02)	All but AK, VT & WA	
Employee Benefits Liability Exclusion	80419 (7/02)	All but AK, VT & WA	Unless if EBL is scheduled
Employers' Liability Exclusion Endorsement	83070 (9/03)	All but AK, FL, VT & WA	Unless if EL is scheduled
Liquor Liability Exclusion Endorsement	87224 (12/04)	All but AK, VT & WA	Unless if Liquor Liability is scheduled
Professional Liability Exclusion Endorsement	83093 (5/05)	All but AK, VT & WA	Unless if Professional Liability is scheduled
Physical Abuse, Sexual Abuse or Molestation Exclusion Endorsement	113512 (3/13)	All but AK, IL, VT & WA	
Physical Abuse, Sexual Abuse or Molestation Exclusion Endorsement (Illinois Only)	89974 (10/05)	IL only	
Total Pollution Exclusion	80514 (7/02)	All but AK, LA, VT & WA	
Total Pollution Exclusion Endorsement (Limited Exception for Hostile Fire)	105907 (7/10)	All but AK, LA, VT & WA	Unless if attaching 80514. If the scheduled GL has a total pollution exclusion then a total pollution

			exclusion must be attached to the Umbrella Prime policy.
Pollution Exclusion Endorsement (With a Building Heating, Cooling and Dehumidifying Equipment Exception and a Hostile Fire Exception) (Louisiana)	105603 (11/10)	LA only	
Florida Amendatory	95179 (7/07)	FL only	
Florida Notice of Loss Control Services	90231 (8/13)	FL only	
Illinois Amendatory	81559 (2/03)	IL only	
Louisiana Amendatory	105601 (5/10)	LA only	
Michigan Amendatory	94141 (3/07)	MI only	
Michigan Policy Holder Notice	81710 (3/03)	MI only	
Missouri Guarantee Association Notice	57943 (4/05)	MO only	
New Hampshire Amendatory	91796 (10/06)	NH only	
New Mexico – Defense Within Limits Acknowledgement	91825 (11/06)	NM only	Mandatory when policy is defense within the limits
North Carolina Amendatory	81064 (1/04)	NC only	
Oregon – Defense Within Limits Acknowledgement	96395 (10/07)	OR only	Mandatory when policy is defense within the limits
South Dakota Amendatory	81466 (2/03)	SD only	
Texas Amendatory	81914 (4/03)	TX only	
Texas Notice	94396 (3/13)	TX only	
Texas Policy Disclosure Notice	81911 (1/05)		Must check off & apply which exclusions apply: CCA, EMF, Formaldehyde, Lead, Silica, PCB

Umbrella Prime Optional Forms – as of 5/28/15 This product is not available in AK, VT & WA.

CrisisResponse Coverage Enhancement Endorsement	94621 (5/07)	All but AK, VA, VT & WA (pending approval in VA)	Mandatory if CrisisResponse is not provided in the scheduled GL.
Duties in the Event of an Occurrence, Claim or Suit and Schedule A Approved Crisis Management Firms	83687 (9/11)	All but AK, VT & WA	Mandatory if CrisisResponse is not provided in scheduled GL.
Duties in the Event of an Occurrence, Claim or Suit and Schedule A Approved Crisis Management Firms (Missouri)	106763 (8/10)	MO only	Mandatory if CrisisResponse is not provided in scheduled GL.
Crisis Management Event Endorsement	117603 (8/14)	All but AK, VA, VT & WA (pending approval in VA)	Adds acts of nature as defined in the endt. Only add when CrisisReponse is to be provided on the umbrella
Non-Concurrency Endorsement	81581 (2/03)	All but AK, VT & WA	
Notice of Occurrence	80454 (7/02)	All but AK, VA, TX & WA	
Notice of Occurrence – Texas	81913 (4/03)	TX only	
Retained Limit Amendatory Endorsement	81583 (4/07)	All but AK, IL, VA, VT & WA	
Retained Limit Amendatory Endorsement (Illinois Only)	100670 (11/08)	IL only	
Retained Limit Amendatory Endorsement (Virginia)	107403 (12/10)	VA only	
Auto Liability Exclusion	80399 (7/02)	All but AK, VT, WV & WA	Must attach is Auto is not scheduled
Auto Liability Exclusion Endorsement (Applicable to West Virginia)	82910 (9/03)	WV only	Must attach for all WV insureds when UM/UIM is not provided
Uninsured/Underinsured Motorist Coverage Endorsement	82610 (5/12)	NH & WV	
Uninsured/Underinsured Motorist Coverage Endorsement	82610 (11/09)	FL, LA	
Employer's Liability Coverage Endorsement	87073 (11/04)	All but AK, VT & WA	
Employer's Liability for Occupational Disease Exclusion Endorsement	83072 (9/03)	All but AK, VT & WA	
Employers' Liability/Stop Gap Exclusion Endorsement	90991 (5/06)		In TX mandatory if the insured has opted out & hasn't purchased any WC, Use in ND, OH & WY to provide Stop Gap
Texas Employers Liability Endt (Defense Expenses Outside the Retained Limit)	81586 (3/12)	TX	Mandatory if the insured has opted out and purchased alternative WC

Texas Employers Liability Endt (Defense Expenses Insured Retained Limit	81585 (6/09)	TX	
Employers' Liability Amendatory Endt (West Virginia)	105535 (5/10)	WV	
Additional Insured Endorsement - Primary and Noncontributory	86395 (12/13)	All but AK, MD, MA, TX, VT & WA	
Additional Insured Endorsement – Primary and Non-Contributory	86395 (8/04)	MD, MA & TX only	
Aircraft Products and Grounding Exclusion Endorsement	86428 (2/09)	All but AK, VT & WA	
Athletic Activities Exclusion	80396 (7/02)	All but AK, VT & WA	
Athletic Activities Follow-Form Endorsement	80397 (7/02)	All but AK, VT & WA	
Commercial General Liability Limitation Endorsement	87043 (5/12)	All but AK, VT & WA	
Discrimination Exclusion Endorsement	86558 (8/04)	All but AK, VT & WA	
Employee Benefits Liability Limitation Endorsement (Claims-Made)	83073 (4/07)	All but AK, CT, IL, OR, VA, VT & WA	
Employee Benefits Liability Limitation Endorsement (Claims-Made) – Oregon	100019 (9/08)	Oregon only	
Employee Benefits Liability Limitation Occurrence Version Endorsement	83069 (9/03)	All but AK, VT & WA	
Foreign Liability Exclusion	80431 (7/02)	All but AK, NH, VT & WA	
Foreign Liability Follow-Form Endorsement	80432 (7/02)	All but AK, VT & WA	
Formaldehyde Exclusion Endorsement	80434 (2/03)	All but AK, CT, IL, NE, VT & WA	
Fungus Exclusion With Edible Good Or Product Consumption Exception Endorsement	90996 (5/06)	All but AK, VT & WA	
Marine Liability Exclusion	80445 (7/02)	All but AK, FL, VT & WA	
Mercury Exclusion Endorsement	87226 (12/04)	All but AK, VT & WA	
Neurodegenerative Injury Exclusion Endorsement (CTE)	115783 (8/13)	All but AK, CA, GA, MD, NC, VT & WA	
Specified Entity(ies) Exclusion Endorsement	89484 (6/05)	All but AK, VT & WA	
Specified Operations Exclusion Endorsement	83096 (9/03)	All but AK, VT & WA	
Specified Products Exclusion Endorsement	83097 (9/03)	All but AK, VT & WA	
Subsidence Exclusion	80488 (7/02)	All but AK, VT & WA	
Violation of Consumer Financial Protection Laws Exclusion Endorsement	117189 (12/13)	All but AK, VT & WA	
Blasting Exclusion Endorsement	87002 (11/04)	All but AK, VT & WA	
Chromated Copper Arsenate (“CCA”) Exclusion Endorsement	81576 (2/03)	All but AK, CT, GA, TX, VT & WA	
Contractors' Limitation Endorsement (Means and Methods Exception Version	86453 (8/04)	All but AK, SD, VT & WA	
Exterior Insulation and Finish Systems	80423 (9/03)	All but AK, CT, VT &	

(EIFS) Exclusion Endorsement		WA	
Ground Up Construction Operations Exclusion Endorsement	87220 (4/07)	All but AK, VT & WA	
Residential Construction Operations Exclusion Endorsement	80472 (4/07)	All but AK, FL, VT & WA	
Residential Construction Operations Exclusion Endorsement (With Exception for Repair and Remodel)	87007 (11/04)	All but AK, FL, TX, VA & VT	
Rip and Tear Exclusion	80473 (7/02)	All but AK, VT & WA	
Water Damage From Exterior Wall Applications Exclusion Endorsement	95611 (9/07)	All but AK, VT & WA	