

The list of forms below is current as of 10/15/18 and does not replace the forms spreadsheet provided in your Program Authority. Refer to the applicable Program Underwriting Authority for the list of forms that apply to that program. This reference is to help you construct umbrella policies. Always check the Compliance Page of the AIG Programs site for up-to-date cancellation & nonrenewal notices.

UMBRELLA PRIME MANDATORY FORMS

This product is not filed in AK & VT; however nonadmitted umbrellas in all states are written on Umbrella Prime. The various state amendatory cancellation/nonrenewal need to be added. The mandatory state amendatories listed in this document are not cancellation/nonrenewal ends.

FORM NAME	FORM #	STATES	COMMENTS
Policyholder Notice – Commission	91222 (9/16)	Use in all states	Same form for Standard Umbrella & Umbrella Prime
Umbrella Prime Contract	80517 (11/09)	Use in all states except AK, VA & VT	
Umbrella Prime Contract - VA	105850 (2/11)	Use in VA only	
<i>Umbrella Prime Contract (Lexington)</i>	81500 (11/09)	<i>use in all states (including AK & VT) except DE</i>	<i>Nonadmitted only. Use Umbrella Prime for all nonadmitted umbrella policies including those in AK & VT</i>
<i>Umbrella Prime Contract (AIG Specialty)</i>	81070 (11/09)	<i>Use in DE only</i>	<i>Nonadmitted only</i>
Umbrella Prime Declarations	80518 (11/09)	Use in all states except AK, KS, KY, LA & VT	
Umbrella Prime Declarations	96352 (11/09)	Use in KS only	
Umbrella Prime Declarations	81421 (11/09)	Use in KY only	
Umbrella Prime Declarations	105735 (6/10)	Use in LA only	
<i>Umbrella Prime Declarations (Lexington)</i>	81499 (10/04)	<i>use in all states (including AK & VT) except DE</i>	<i>Nonadmitted only. Use Umbrella Prime for all nonadmitted umbrella policies including those in AK & VT.</i>
<i>Umbrella Prime Declarations (AIG Specialty)</i>	81071 (11/09)	<i>Use in DE only</i>	<i>Nonadmitted only</i>
Umbrella Prime Declarations Addendum	74825 (11/09)	Use in FL only	
Umbrella Prime Declarations Addendum	77846 (11/09)	Use in MO only	
Addendum to the Declarations Signature Page	78711 (3/18)	Use in all states	Same form for Standard Umbrella & Umbrella Prime

<i>Addendum to the Declarations Signature Page</i>	PRG2078 (3/16)	Use in all states	Nonadmitted only
Notice on Taxes, Assessments and/or Surcharges	118477 (3/15)	Use in all states	Same form for Standard Umbrella & Umbrella Prime
<i>25% Minimum Earned Premium At Inception Endorsement</i>	84196 (3/04)	Use in all states	Nonadmitted only
Policyholder Disclosure Notice of Terrorism Insurance Coverage	96556 (1/15)	Use in all states	Same form for Standard Umbrella & Umbrella Prime
Claims Reporting Notice	117661 (9/14)	Use in all states except AK & VT	Lists the mailing address, fax # & email address for claims reporting.
Economic Sanctions Endorsement (OFAC)	89644 (6/13)	Use in all states except AK	Same form for Standard Umbrella & Umbrella Prime
Violation of Economic or Trade Sanctions Condition Amendment Endorsement	99497 (6/08)	Use in all states except AK, VA & VT	Removes OFAC exclusion within contract which is replaced with endt 89644 (6/13).
Schedule of Underlying Insurance	80477 (7/02)	Use in all states except AK & VT	
CrisisResponse® Exclusion	117617 (9/14)	Use in all states except AK & VT	If Crisis Response is provided on the scheduled GL attach this endt to remove CrisisResponse® from the umbrella.
Access or Disclosure of Confidential or Personal Information Exclusion Endorsement	118632 (1/15)	Use in all states except AK & VT	Cyber Exclusion
Anti-Stacking Endorsement	80394 (9/03)	Use in all states except but AK, CT, FL, KS, VT & WA	
Anti-Stacking	80394 (7/02)	Use in FL & KS only	
Anti-Stacking Excess Casualty Limitation Endorsement	83056 (11/09)	Use in WA since 80394 was not filed in WA	
Commercial General Liability Limitation Endorsement	87043 (5/12)	Use in all states except AK CA, FL, LA, MA, VA & VT	
Commercial General Liability Limitation Endorsement	87043 (11/04)	Use in CA, FL, LA, MA & VA	

Cross Suits Exclusion Endorsement	80411 (1/04)	Use in all states except AK, CT, SD & VT	
Cross Suits Exclusion	80411 (7/02)	Use in SD only	
Fungus Exclusion Endorsement	82449 (6/03)	Use in all states except AK, SD, MA, NH, VT & WA	
Fungus Exclusion Endorsement (MA & NH Only)	89683 (9/05)	Use in MA & NH only	
Fungus Exclusion Endorsement – Washington	121371 (3/16)	Use in WA only	
Lead Exclusion Endorsement	86471 (2/06)	Use in all states except AK, FL, ME, NH & VT	
Lead Exclusion Endorsement	86471 (8/04)	Use in FL only	
Radioactive Matter Exclusion Endorsement	83094 (9/03)	Use in all states except AK & VT	
Silica Exclusion Endorsement	80479 (2/03)	Use in all states except AK, CT & VT	
Violation of Communication or Information Law Exclusion Amendatory Endorsement	121317 (2/16)	Use in all states except AK, NH & VT	
Violation of Communication or Information Law Exclusion Amendatory Endorsement	87241 (12/04)	Use in NH only	
Act of Terrorism Self-Insured Retention Endorsement	83049 (9/15)	Use in all states except AK, FL, IL, OH, OK, VA, VT & WA	
Certified Act of Terrorism Self-Insured Retention Endorsement (Florida Only)	91688 (11/06)	Use in FL only	
Act of Terrorism Self-Insured Retention Endorsement	121687 (4/16)	Use in IL only	
Act of Terrorism Self-Insured Retention Endorsement (Limited States Only)	93944 (1/07)	Use in OH only	
Act of Terrorism Self-Insured Retention Endorsement (Oklahoma Only)	121815 (6/16)	Use in OK only	
Act of Terrorism Self-Insured Retention Endorsement (Virginia Only)	105847 (2/16)	Use in VA only	
Act of Terrorism Self-Insured Retention Endorsement (Washington Only)	118598 (12/14)	Use in WA only	
Directors and Officers Liability Exclusion	80417 (7/02)	Use in all states except AK & VT	
Employee Benefits Liability Exclusion	80419 (7/02)	Use in all states except AK & VT	Do not attach if EBL is scheduled on the umbrella.
Employee Benefits Liability Limitation Endorsement (Claims-Made)	83073 (4/07)	Use in all states except AK, CT, IL, OR, VA & VT	Attach if EBL is scheduled and it is on a claims made form.

Employee Benefits Liability Limitation Endorsement (Claims-Made) – Oregon	100019 (9/08)	Use in Oregon only	Attach if EBL is scheduled and it is on a claims made form.
Employee Benefits Liability Follow Form Endorsement (occurrence)	95124 (7/07)	Use in all states except AK & VT	Attach if EBL is scheduled and it is on an occurrence form.
Liquor Liability Exclusion Endorsement	87224 (12/04)	Use in all except AK, NH & VT	Do not attach if Liquor Liability is scheduled.
Liquor Liability Exclusion Endorsement (New Hampshire)	119980 (10/15)	Use in NH only	In NH this is also follow form
Liquor Liability Limitation	83085 (9/03)	Use in all states except AK, NH, VT & WA.	This is the follow form endorsement.
Professional Liability Exclusion Endorsement	83093 (5/05)	Use in all states except AK & VT	Do not attach if Professional Liability is scheduled.
Physical Abuse, Sexual Abuse or Molestation Exclusion Endorsement	113512 (3/13)	Use in all states except AK, IL & VT	
Sexual Misconduct or Abuse Exclusion - Illinois	89974 (10/05)	Use in IL	
Total Pollution Exclusion	80514 (7/02)	Use in all states except AK, LA & VT	If the scheduled GL has a total pollution exclusion then a total pollution exclusion must be attached to the Umbrella Prime policy.
Total Pollution Exclusion Endorsement (Limited Exception for Hostile Fire)	105907 (7/10)	Use in all states except AK, LA & VT	Unless if attaching 80514.
Pollution Exclusion Endorsement (With a Building Heating, Cooling and Dehumidifying Equipment Exception and a Hostile Fire Exception) (Louisiana)	105603 (11/10)	Use in LA	

AUTO LIABILITY FORMS

Either an auto exclusion or an auto follow form endorsement must be attached to each umbrella. Use an exclusion to exclude auto. If auto is scheduled on the umbrella attach a follow form endorsement to provide the coverage on the umbrella.

Auto Liability Exclusion	80399 (7/02)	Use in all states except AK, VT & WV	Must attach if Auto is not scheduled.
Auto Liability Exclusion Endorsement (Applicable to West Virginia)	82910 (9/03)	Use in WV only	If the primary auto covering WV does not have UM UIM, we cannot provide auto coverage in WV so this exclusion must be attached.

Automobile Liability Follow-Form Endorsement	80398 (7/02)	Use in all states except AK & VT	Must attach when auto is scheduled and there are scheduled autos. Use in WA as the follow form.
Auto Liability Exclusion Endorsement (With Limited Exception for Hired and Non-Owned Auto)	90982 (5/06)	Use in all states except AK, VT & WA	Must attach when the only scheduled auto is hired non-owned auto.
EMPLOYER LIABILITY FORMS			
Either an EL exclusion or an EL follow form endorsement must be attached to each umbrella. Use an exclusion to exclude EL. If EL is scheduled on the umbrella attach a follow form endorsement to provide the coverage on the umbrella.			
Employers' Liability Exclusion Endorsement	83070 (9/03)	Use in all states except AK, FL, MA, NY & VT	Attach except when EL is scheduled.
Employers' Liability Exclusion Endorsement	89462 (6/05)	Use in MA & NY	Mandatory in MA & NY.
Employers' Liability/Stop Gap Exclusion Endorsement	90991 (5/06)	Use in FL, ND, OH, WA & WV	This is the only EL exclusion available in FL. Use to exclude Stop Gap in ND, OH, WA & WV.
Employers' Liability/Stop Gap Limitation Endorsement	82616 (5/06)	Can use in all states except AK & VT	Attach in monopolistic states (ND, OH, WA & WY) to provide follow form Stop Gap. Attach in TX when an insd has opted out of the standard WC system and has not purchased any type of WC. Use as the EL follow form.
Texas Employers Liability Endt (Defense Expenses Outside the Retained Limit)	81586 (3/12)	Use in TX only	Mandatory if the insured has opted out of the standard WC system, but has purchased alternative WC.
Employers' Liability Amendatory Endt (West Virginia)	105535 (5/10)	Use in WV only	Attach whenever an insured has payroll in WV.

STATE AMENDATORIES

These endts are in addition to the various cancellation/nonrenewal endorsements that must be attached.

Arkansas Consumer Complaint Notification	103451 (7/17)	Use in AR	
Florida Amendatory	95179 (7/07)	Use in FL	
Florida Notice of Loss Control Services	90231 (8/13)	Use in FL	
Illinois Amendatory	81559 (2/03)	Use in IL	
Louisiana Amendatory	105601 (5/10)	Use in LA	
Michigan Amendatory	94141 (3/07)	Use in MI	
Michigan Policy Holder Notice	117512 (5/14)	Use in MI	
Missouri Guarantee Association Notice	57943 (4/05)	Use in MO	
Montana Amendatory Endorsement Conformity With Montana Statutes	57120 (5/93)	Use in MT	
Nevada Umbrella Disclosure Notice as Respects Uninsured/Underinsured Motorist Vehicle Coverage	82909 (9/03)	Use in NV	Insured must sign to acknowledge the umbrella does not provide UM/UIM.
New Hampshire Amendatory	91796 (10/06)	Use in NH	
New Hampshire Amendatory	126856 (6/17)	Use in NH	
New Mexico – Defense Within Limits Acknowledgement	91825 (11/06)	Use in NM	Mandatory when policy is defense within the limits.
North Carolina Amendatory	81064 (1/04)	Use in NC	
Oregon – Defense Within Limits Acknowledgement	96395 (10/07)	Use in OR	Mandatory when policy is defense within the limits.
South Dakota Amendatory	81466 (2/03)	Use in SD	
Texas Amendatory	81914 (4/03)	Use in TX	
Texas Notice	94396 (3/13)	Use in TX	
Texas Policy Disclosure Notice	81911 (1/05)	Use in TX	Must check off & apply which exclusions apply:

			CCA, EMF, Formaldehyde, Lead, Silica, PCB
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UMBRELLA PRIME OPTIONAL FORMS

This product is not filed in AK & VT; however nonadmitted umbrellas in all states are written on Umbrella Prime.

CrisisResponse Coverage Enhancement Endorsement	94621 (5/07)	Use in all states except AK & VT	Mandatory if CrisisResponse is not provided in the scheduled GL.
Duties in the Event of an Occurrence, Claim or Suit and Schedule A Approved Crisis Management Firms	83687 (9/11)	Use in all states except AK, MO & VT	Mandatory if CrisisResponse is not provided in scheduled GL.
Duties in the Event of an Occurrence, Claim or Suit and Schedule A Approved Crisis Management Firms (Missouri)	106763 (8/10)	Use in MO only	Mandatory if CrisisResponse is not provided in scheduled GL.
Crisis Management Event Endorsement	117603 (8/14)	Use in all states except AK & VT	Adds acts of nature as defined in the endt. Only add when CrisisResponse is to be provided on the umbrella
Non-Concurrency Endorsement	81581 (2/03)	Use in all states except AK & VT	
Notice of Occurrence	80454 (7/02)	Use in all states except AK, VT & TX	
Notice of Occurrence – Texas	81913 (4/03)	Use in TX only	
Retained Limit Amendatory Endorsement	81583 (4/07)	Use in all states except AK, IL, VA & VT	
Retained Limit Amendatory Endorsement (Illinois Only)	100670 (11/08)	Use in IL only	
Retained Limit Amendatory Endorsement (Virginia)	107403 (12/10)	Use in VA only	
Amendment to Schedule of Underlying Insurance	80476 (7/02)	Use in all states except AK & VT	
Additional Named Insured (s)	80391 (7/02)	Use in all states except AK & VT	
Broad Form Named Insured Amendatory Endorsement	95581 (9/07)	Use in all states except AK & VT	
Virginia – Broad Form Named Insured Amendatory Endorsement	105848 (7/10)	Use in VA only	
Uninsured/Underinsured Motorist Coverage Endorsement	82610 (5/12)	Use in NH & WV	

Uninsured/Underinsured Motorist Coverage Endorsement	82610 (11/09)	Use in FL & LA	
Employer's Liability for Occupational Disease Exclusion Endorsement	83072 (9/03)	Use in all states except AK & VT	
Aircraft Products and Grounding Exclusion Endorsement	86428 (2/09)	Use in all states except AK & VT	
Athletic Activities Exclusion	80396 (7/02)	Use in all states except AK, & VT	
Athletic Activities Follow-Form Endorsement	80397 (7/02)	Use in all states except AK, & VT	
Commercial General Liability Limitation Endorsement	119621 (8/15)	Used in all states except AK, ME, MO & VT	This version has a variable field that is used to narrow the focus of the CGL Limitation to a specific entity, subsidiary or part of the insured's operation.
Discrimination Exclusion Endorsement	86558 (8/04)	Use in all states except AK & VT	
Foreign Liability Exclusion	80431 (7/02)	Use in all states except AK, NH & VT	
Foreign Liability Follow-Form Endorsement	80432 (7/02)	Use in all states except AK, VT & WA	
Foreign Liability Limitation (Washington)	121372 (3/16)	WA only	
Formaldehyde Exclusion Endorsement	80434 (2/03)	Use in all states except AK, CT, IL, NE & VT	
Fungus Exclusion With Edible Good Or Product Consumption Exception Endorsement	90996 (5/06)	Use in all states except AK, VT & WA	
Fungus Exclusion With Edible Good Or Product Consumption Exception - Washington	121376 (3/16)	Use in WA only	
Marine Liability Exclusion	80445 (7/02)	Use in all states except AK, FL & VT	
Mercury Exclusion Endorsement	87226 (12/04)	Use in all states except AK, VT & WA	
MTBE and Other Fuel Oxygenates Exclusion Endorsement	80449 (2/03)	Use in all states except AK, GA, TX & VT	
Neurodegenerative Injury Exclusion Endorsement (CTE)	115783 (8/13)	Use in all states except AK, CA, GA, MD, NC & VT	

Non-Pyramiding of Limits Endorsement	83857 (1/04)	Use in all states except AK & VT	Mandatory when there is another AIG policy
Specified Entity(ies) Exclusion Endorsement	89484 (6/05)	Use in all states except AK, VT & WA	
Specified Entity Exclusion – Washington	121387 (3/16)	Use in WA only	
Specified Operations Exclusion Endorsement	83096 (9/03)	Use in all states except AK & VT	
Specified Products Exclusion Endorsement	83097 (9/03)	Use in all states except AK & VT	
Subsidence Exclusion	80488 (7/02)	Use in all states except AK & VT	
Violation of Consumer Financial Protection Laws Exclusion Endorsement	117189 (12/13)	Use in all states except AK & VT	
Garage Keepers Legal Liability Exclusion Endorsement	83080 (9/03)	Use in all states except AK, VT & WA	
Garage Keepers Legal Liability Limitation Endorsement	83079 (12/04)	Use in all states except AK, MA, NH & VT	
Garage Keepers Legal Liability Limitation Endorsement	83079 (9/03)	Use in MA & NH	
Garage Operations Limitation Endorsement	87219 (12/04)	Use in all states except AK, VT & WA	
Blasting Exclusion Endorsement	87002 (11/04)	Use in all states except AK, VT & WA	
Chromated Copper Arsenate (“CCA”) Exclusion Endorsement	81576 (2/03)	Use in all states except AK, CT, GA, TX & VT	
Contractors’ Limitation Endorsement (Means and Methods Exception Version)	86453 (8/04)	Use in all states except AK, SD & VT	
Exterior Insulation and Finish Systems (EIFS) Exclusion Endorsement	80423 (9/03)	Use in all states except AK, CT & VT	
Ground Up Construction Operations Exclusion Endorsement	87220 (4/07)	Use in all states except AK & VT	
Residential Construction Operations Exclusion Endorsement	80472 (4/07)	Use in all states except AK, FL, VT & WA	
Residential Construction Operations Exclusion Endorsement (With Exception for Repair and Remodel)	87007 (11/04)	Use in all states except AK, FL, TX, VA & VT	
Rip and Tear Exclusion	80473 (7/02)	Use in all states except AK & VT	
Water Damage From Exterior Wall Applications Exclusion Endorsement	95611 (9/07)	Use in all states except AK, VT & WA	