



## NOTICE OF EFFECTIVE FILING

**TO:** Kathleen Ott  
**FROM:** Angela Caraballo  
**DATE:** April 5, 2024

☒ **FORM**    ☒ **RULE**    ☐ **RATE**

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**BUSINESS UNIT: PROGRAMS DIVISION**

**TOI:** 17.0 Other Liability-Occ/Claims Made

**SUB-TOI:** 17.0020 Commercial Umbrella and Excess

**PROGRAM NAME:** Forms attaching to the following programs:

- **Excess Liability Program - 60225 (2/20)**
- **Umbrella Prime® - Commercial Umbrella Liability Policy with CrisisResponse® - 80517 (5/06)**

**FILING NUMBER:** AIG-24-CU-XL-01

**STATE:** OREGON

**EFFECTIVE DATE:** April 5, 2024

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**CONTENTS INCLUDE:** Other Insurance Required By Contract (Excess) - 148214 (3/24)

Other Insurance Required By Contract (Umbrella) - 148216 (3/24)

Excess Liability Rule - EP-MU-RU DIV (3/24)

Umbrella Liability Rule - UM-MU-RU DIV (3/24)

**MODIFICATIONS:** None

**COMMENTS:** None

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**COMPANY(IES) FILED:**

- ☐ AIG ASSURANCE COMPANY
  - ☐ AIG PROPERTY CASUALTY COMPANY
  - ☐ AIU INSURANCE COMPANY
  - ☐ AMERICAN HOME ASSURANCE COMPANY
  - ☐ COMMERCE AND INDUSTRY INSURANCE COMPANY
  - ☐ GRANITE STATE INSURANCE COMPANY
  - ☐ ILLINOIS NATIONAL INSURANCE CO.
  - ☒ NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA.
  - ☐ NEW HAMPSHIRE INSURANCE COMPANY
  - ☐ THE INSURANCE COMPANY OF THE STATE OF PENNSYLVANIA
-



Tracking Number:

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**Product Name:** Excess Liability Program & Umbrella Prime® - Commercial Umbrella Liability Policy With CrisisResponse®/ 151-640-288

**TOI:** 17.0 Other Liability-Occ/Claims Made

**Sub-TOI:** 17.0020 Commercial Umbrella and Excess

**Filing Type:** Form/Rule

**Effective Date Requested (New):** On Approval

**Effective Date Requested (Renewal):** On Approval

**SERFF Tr Num:** AGNY-134012412

**State Tr Num:** AGNY-134012412

**Co Tr Num:** AIG-24-CU-XL-01

**Date Submitted:** 03/27/2024

**Authors:** Angela Caraballo



**SERFF Status:** Closed-Approved

**State Status:** Review completed

**Co Status:**

**Disposition Date:** 04/05/2024

General  
InformationForm  
ScheduleRate/Rule  
ScheduleSupporting  
DocumentationState  
SpecificCompanies  
and ContactFiling  
FeesFiling  
Correspondence**Form Count: 2**

Item No.	Schedule Item Status	Form Name *	Form Number	Edition Date	Form Type *	Action *	Action Specific Data	Readability Score	Attachments	Submitted
1	Approved 04/05/2024	OTHER INSURANCE REQUIRED BY CONTRACT (Excess)	148214 (3/24)		END	New			 <a href="#">148214 (3-24) Other Insurance Required By Contract (Excess).pdf</a>	Date Submitted: 03/27/2024 By: Angela Caraballo
2	Approved 04/05/2024	OTHER INSURANCE REQUIRED BY CONTRACT (Umbrella)	148216 (3/24)		END	New			 <a href="#">148216 (3-24) Other Insurance Required By Contract (Umbrella).pdf</a>	Date Submitted: 03/27/2024 By: Angela Caraballo

**Form Type Legend:**

- **ADV** = Advertising
- **BND** = Bond
- **CER** = Certificate
- **DSC** = Disclosure/Notice
- **END** = Endorsement/Amendment/Conditions
- **PCF** = Policy/Coverage Form
- **ABE** = Application/Binder/Enrollment
- **CNR** = Canc/NonRen Notice
- **DEC** = Declarations/Schedule
- **ERS** = Election/Rejection/Supplemental Applications
- **OTH** = Other

**Icon Legend:**  - Draft Schedule Item  - Open Objection

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Tracking Number:





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[Add Authors](#)[Update](#)[Compare Attachments](#)[Create Reminder](#)[Move to Workfolder](#)[PDF Pipeline](#)[Clone Filing](#)**Oregon**[View Associated Filings](#) [View General Instructions](#) [View Filing Log](#) This Filing has been marked as public access.**Product Name:** Excess Liability Program & Umbrella Prime® - Commercial Umbrella Liability Policy With CrisisResponse®/ 151-640-288**TOI:** 17.0 Other Liability-Occ/Claims Made**Sub-TOI:** 17.0020 Commercial Umbrella and Excess**Filing Type:** Form/Rule**Effective Date Requested (New):** On Approval**Effective Date Requested (Renewal):** On Approval**SERFF Tr Num:** AGNY-134012412**State Tr Num:** AGNY-134012412**Co Tr Num:** AIG-24-CU-XL-01**Date Submitted:** 03/27/2024**Authors:** Angela Caraballo**SERFF Status:** Closed-Approved**State Status:** Review completed**Co Status:****Disposition Date:** 04/05/2024

General Information	Form Schedule	Rate/Rule Schedule	Supporting Documentation	State Specific	Companies and Contact	Filing Fees	Filing Correspondence
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 The rate schedule has been marked public access.**Add Rate Data?** No

Item No.		Schedule Item Status	Exhibit Name: *	Rule# or Page #:	Rate Action: *	Previous State Filing Number:	Attach Document:	Submitted:
1		Reviewed-No Action 04/05/2024	EXCESS LIABILITY RULE	EP-MU-RU DIV (3/24)	New		 <a href="#">EP-MU-RU (03-24) Rule Page - Excess Liability.pdf</a>	Date Submitted: 03/27/2024 By: Angela Caraballo
2		Reviewed-No Action 04/05/2024	UMBRELLA LIABILITY RULE	UM-MU-RU DIV (3/24)	New		 <a href="#">UM-MU-RU (03-24) Rule Page - Umbrella Liability.pdf</a>	Date Submitted: 03/27/2024 By: Angela Caraballo

**Icon Legend:**  - Draft Schedule Item  - Open Objection[Add Authors](#)[Update](#)[Compare Attachments](#)[Create Reminder](#)[Move to Workfolder](#)[PDF Pipeline](#)[Clone Filing](#)

## Disposition for AGNY-134012412

## Filing at a Glance

**State:**

Oregon

**SERFF Tracking Number:**

AGNY-134012412

**TOI:**

17.0 Other Liability-Occ/Claims Made

**State Tracking Number:**

AGNY-134012412

**Sub-TOI:**

17.0020 Commercial Umbrella and Excess

**Company Tracking Number:**

AIG-24-CU-XL-01

**Filing Type:**

Form/Rule

**Product Name:**

Excess Liability Program & Umbrella Prime®  
 - Commercial Umbrella Liability Policy With  
 CrisisResponse®/ 151-640-288

**Filing Company:**

National Union Fire Insurance Company of  
 Pittsburgh, Pa.

**Project Name:**

Other Insurance Required by Contract

**Destruction Date:****Disposition Date:**

04/05/2024

**Effective Date (New):**

04/05/2024

**Effective Date (Renewal):**

04/05/2024

**Status:** \*

Approved

**Comments:**

Department of Consumer and Business Services  
 Division of Financial Regulation - Product Regulation - Rates and Forms

Invitation to Comment on Quality of Service from the Division of Financial Regulation

We strive to provide excellent customer service at all times and invite you to provide written comment regarding your filing experience.

Instructions for submitting a filing are on our website at <http://www.oregon.gov/DCBS/insurance/insurers/rates-forms/Pages/rates-forms.aspx> or contained within SERFF under the Filing Rules tab. Filings that contain errors may be returned without having been accepted for review. Filing errors that are considered include; form numbers that do not match, forms attached under the wrong tab, or missing required documents. If we allow the company to correct a filing error it must be corrected within 24 hours or the filing will be rejected for no response.

If we contact you about compliance-related issues or corrections that need to be made to your filing, we must receive your complete response within 10 calendar days.

Any disapproval for reasons other than filing errors must be supported by our product standards. If you believe we have failed to meet our performance objectives or you believe we have provided outstanding performance, please let us know. We value your comments and will use this information to improve our service.

You may request that your comments be kept confidential; however, be aware that confidential feedback limits our ability to follow up, as your concerns cannot be shared with staff. If you are not requesting confidentially, please include the SERFF or state tracking number with your comments.

Please explain if the Division met its objective. Was your experience positive?

Comments:

Thank you,  
Tashia Sizemore  
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#### Schedule Items

Item Type	Item Name	Item Status	Public Access
Form	148214 (3/24), [No date], Endorsement/Amendment/Conditions, OTHER INSURANCE REQUIRED BY CONTRACT (Excess)	Approved	Yes
Form	148216 (3/24), [No date], Endorsement/Amendment/Conditions, OTHER INSURANCE REQUIRED BY CONTRACT (Umbrella)	Approved	Yes
Rate	EXCESS LIABILITY RULE, EP-MU-RU DIV (3/24)	Reviewed-No Action	Yes
Rate	UMBRELLA LIABILITY RULE, UM-MU-RU DIV (3/24)	Reviewed-No Action	Yes
Supporting Document	Cover Letter or Explanatory Memorandum	Reviewed-No Action	Yes
Supporting Document	Third party filers letter of authorization	Not Applicable to filing	Yes
Supporting Document	3894 Certification of Compliance	Reviewed-No Action	Yes
Supporting Document	3610 Standards for Personal and Commercial Liability	Information only	Yes
Supporting Document	Highlighted/Redline form version if a replaced, amended or similar forms	Not Applicable to filing	Yes
Supporting Document	Form Listing	Reviewed-No Action	Yes

Sincerely,  
Michael Drummonds  
Rates & Forms Analyst  
Oregon Division of Financial Regulation  
Michael.Drummonds@DCBS.Oregon.gov  
503-931-6986