



## NOTICE OF EFFECTIVE FILING

**TO:** Kathleen Ott  
**FROM:** Angela Caraballo  
**DATE:** April 4, 2024

☒ **FORM**    ☒ **RULE**    ☐ **RATE**

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**BUSINESS UNIT: PROGRAMS DIVISION**

**TOI:** 17.0 Other Liability-Occ/Claims Made

**SUB-TOI:** 17.0020 Commercial Umbrella and Excess

**PROGRAM NAME:** Forms attaching to the following programs:

- **Excess Liability Program - 60225 (2/20)**
- **Umbrella Prime® - Commercial Umbrella Liability Policy with CrisisResponse® - 80517 (5/06)**

**FILING NUMBER:** AIG-24-CU-XL-01

**STATE:** INDIANA

**EFFECTIVE DATE:** April 3, 2024

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**CONTENTS INCLUDE:** Other Insurance Required By Contract (Excess) - 148214 (3/24)

Other Insurance Required By Contract (Umbrella) - 148216 (3/24)

Excess Liability Rule - EP-MU-RU DIV (3/24)

Umbrella Liability Rule - UM-MU-RU DIV (3/24)

**MODIFICATIONS:** None

**COMMENTS:** Filing was initially submitted for the Excess Liability Program under Company Filing No. AIG-24-XL-01 and has been amended to include an Umbrella Prime endorsement and rule as per the profit center.

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**COMPANY(IES) FILED:**

- ☐ AIG ASSURANCE COMPANY  
☐ AIG PROPERTY CASUALTY COMPANY  
☐ AIU INSURANCE COMPANY  
☐ AMERICAN HOME ASSURANCE COMPANY  
☐ COMMERCE AND INDUSTRY INSURANCE COMPANY  
☐ GRANITE STATE INSURANCE COMPANY  
☐ ILLINOIS NATIONAL INSURANCE CO.  
☒ NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA.  
☐ NEW HAMPSHIRE INSURANCE COMPANY  
☐ THE INSURANCE COMPANY OF THE STATE OF PENNSYLVANIA



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**Product Name:** Excess Liability Program & Umbrella Prime® - Commercial Umbrella Liability Policy With CrisisResponse®/ 151-640-288

**TOI:** 17.0 Other Liability-Occ/Claims Made

**Sub-TOI:** 17.0020 Commercial Umbrella and Excess

**Filing Type:** Form/Rule

**Effective Date Requested (New):** On Approval

**Effective Date Requested (Renewal):** On Approval

**SERFF Tr Num:** AGNY-134041504

**State Tr Num:** EFT OK /

**Co Tr Num:** AIG-24-CU-XL-01

**Date Submitted:** 03/25/2024





**Authors:** Angela Caraballo

**SERFF Status:** Closed-Filed

**State Status:**

**Co Status:**

**Disposition Date:** 04/03/2024

General Information		Form Schedule	Rate/Rule Schedule	Supporting Documentation	Companies and Contact	Filing Fees	Filing Correspondence				
Form Count: 2											
Item No.		Schedule Item Status	Form Name *	Form Number	Edition Date	Form Type *	Action *	Action Specific Data	Readability Score	Attachments	Submitted
1			OTHER INSURANCE REQUIRED BY CONTRACT (Excess)	148214	(3/24)	END	Replaced	Previous Filing # <a href="#">AGNY-134012394</a> Replaced Form # <a href="#">148214</a> Replaced Edition Date (2/24)		 <a href="#">148214 (3-24) Other Insurance Required By Contract (Excess).pdf</a>	Date Submitted: 03/25/2024 By: Angela Caraballo
2			OTHER INSURANCE REQUIRED BY CONTRACT (Umbrella)	148216	(3/24)	END	New			 <a href="#">148216 (3-24) Other Insurance Required By Contract (Umbrella).pdf</a>	Date Submitted: 03/25/2024 By: Angela Caraballo

**Form Type Legend:**

- **ADV** = Advertising
- **BND** = Bond
- **CER** = Certificate
- **DSC** = Disclosure/Notice
- **END** = Endorsement/Amendment/Conditions
- **PCF** = Policy/Coverage Form
- **ABE** = Application/Binder/Enrollment
- **CNR** = Canc/NonRen Notice
- **DEC** = Declarations/Schedule
- **ERS** = Election/Rejection/Supplemental Applications
- **OTH** = Other

**Icon Legend:**  - Draft Schedule Item  - Open Objection

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[Add Authors](#)[Update](#)[Compare Attachments](#)[Create Reminder](#)[Move to Workfolder](#)[PDF Pipeline](#)[Clone Filing](#)**Indiana**[View Associated Filings](#) [View General Instructions](#) [View Filing Log](#) This Filing has been marked as public access.**Product Name:** Excess Liability Program & Umbrella Prime® - Commercial Umbrella Liability Policy With CrisisResponse®/ 151-640-288**TOI:** 17.0 Other Liability-Occ/Claims Made**Sub-TOI:** 17.0020 Commercial Umbrella and Excess**Filing Type:** Form/Rule**Effective Date Requested (New):** On Approval**Effective Date Requested (Renewal):** On Approval**SERFF Tr Num:** AGNY-134041504**State Tr Num:** EFT OK /**Co Tr Num:** AIG-24-CU-XL-01**Date Submitted:** 03/25/2024**Authors:** Angela Caraballo**SERFF Status:** Closed-Filed**State Status:****Co Status:****Disposition Date:** 04/03/2024[General Information](#)[Form Schedule](#)[Rate/Rule Schedule](#)[Supporting Documentation](#)[Companies and Contact](#)[Filing Fees](#)[Filing Correspondence](#)**Add Rate Data?** No

Item No.		Schedule Item Status	Exhibit Name: *	Rule# or Page #:	Rate Action: *	Previous State Filing Number:	Attach Document:	Submitted:
1			EXCESS LIABILITY RULE	EP-MU-RU DIV (3/24)	Replacement	<a href="#">AGNY-134012394</a>	 <a href="#">EP-MU-RU (03-24) Rule Page - Excess Liability.pdf</a>	Date Submitted: 03/25/2024 By: Angela Caraballo
2			UMBRELLA LIABILITY RULE	UM-MU-RU DIV (3/24)	New		 <a href="#">UM-MU-RU (03-24) Rule Page - Umbrella Liability.pdf</a>	Date Submitted: 03/25/2024 By: Angela Caraballo

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## Disposition for AGNY-134041504

## Filing at a Glance

<b>State:</b> Indiana	<b>SERFF Tracking Number:</b> AGNY-134041504
<b>TOI:</b> 17.0 Other Liability-Occ/Claims Made	<b>State Tracking Number:</b> EFT OK /
<b>Sub-TOI:</b> 17.0020 Commercial Umbrella and Excess	<b>Company Tracking Number:</b> AIG-24-CU-XL-01
<b>Filing Type:</b> Form/Rule	<b>Product Name:</b> Excess Liability Program & Umbrella Prime® - Commercial Umbrella Liability Policy With CrisisResponse®/ 151-640-288
<b>Filing Company:</b> National Union Fire Insurance Company of Pittsburgh, Pa.	<b>Project Name:</b> Other Insurance Required by Contract
	<b>Destruction Date:</b>

**Disposition Date:**

04/03/2024

**Effective Date (New):****Effective Date (Renewal):****Status:** \*


Filed


**Comments:**

## Schedule Items

Item Type	Item Name	Item Status	Public Access
Form	148214 , (3/24), Endorsement/Amendment/Conditions, OTHER INSURANCE REQUIRED BY CONTRACT (Excess)		Yes
Form	148216, (3/24), Endorsement/Amendment/Conditions, OTHER INSURANCE REQUIRED BY CONTRACT (Umbrella)		Yes
Rate	EXCESS LIABILITY RULE, EP-MU-RU DIV (3/24)		Yes
Rate	UMBRELLA LIABILITY RULE, UM-MU-RU DIV (3/24)		Yes
Supporting Document	80 Filing Description/Cover Letter/NAIC Transmittal		Yes
Supporting Document	Form Listing		Yes
Supporting Document	Redlines		Yes

Sincerely,  
Linda Grant



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**Product Name:** Excess Liability Program / 151-640-288  
**TOI:** 17.0 Other Liability-Occ/Claims Made  
**Sub-TOI:** 17.0020 Commercial Umbrella and Excess  
**Filing Type:** Form/Rule  
**Effective Date Requested (New):** On Approval  
**Effective Date Requested (Renewal):** On Approval


**SERFF Tr Num:** AGNY-134012394  
**State Tr Num:** EFT OK /  
**Co Tr Num:** AIG-24-XL-01  
**Date Submitted:** 02/28/2024  
**Authors:** Angela Caraballo


**SERFF Status:** Closed-Filed  
**State Status:**  
**Co Status:**  
**Disposition Date:** 03/01/2024

General Information		Form Schedule	Rate/Rule Schedule	Supporting Documentation	Companies and Contact	Filing Fees	Filing Correspondence				
Form Count: 1											
Item No.		Schedule Item Status	Form Name *	Form Number	Edition Date	Form Type *	Action *	Action Specific Data	Readability Score	Attachments	Submitted
1			OTHER INSURANCE REQUIRED BY CONTRACT	148214	(2/24)	END	New			 <a href="#">148214 (2-24) Excess Other Insurance Required By Contract .pdf</a>	Date Submitted: 02/28/2024 By: Angela Caraballo
Form Type Legend:											
<div><div><ul style="list-style-type: none"><li>• <b>ADV</b> = Advertising</li><li>• <b>BND</b> = Bond</li><li>• <b>CER</b> = Certificate</li><li>• <b>DSC</b> = Disclosure/Notice</li><li>• <b>END</b> = Endorsement/Amendment/Conditions</li><li>• <b>PCF</b> = Policy/Coverage Form</li></ul></div><div><ul style="list-style-type: none"><li>• <b>ABE</b> = Application/Binder/Enrollment</li><li>• <b>CNR</b> = Canc/NonRen Notice</li><li>• <b>DEC</b> = Declarations/Schedule</li><li>• <b>ERS</b> = Election/Rejection/Supplemental Applications</li><li>• <b>OTH</b> = Other</li></ul></div></div>											
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General Information		Form Schedule	Rate/Rule Schedule	Supporting Documentation	Companies and Contact	Filing Fees	Filing Correspondence	
Add Rate Data? No								
Item No.		Schedule Item Status	Exhibit Name: *	Rule# or Page #:	Rate Action: *	Previous State Filing Number:	Attach Document:	Submitted:
1			Excess Liability Rule	EP-MU-RU DIV (2/24)	New		 <a href="#">EP-MU-RU (02-24) Excess Liability Rule.pdf</a>	Date Submitted: 02/28/2024 By: Angela Caraballo
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## Disposition for AGNY-134012394

## Filing at a Glance

**State:**

Indiana

**SERFF Tracking Number:**

AGNY-134012394

**TOI:**

17.0 Other Liability-Occ/Claims Made

**State Tracking Number:**

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**Sub-TOI:**

17.0020 Commercial Umbrella and Excess

**Company Tracking Number:**

AIG-24-XL-01

**Filing Type:**

Form/Rule

**Product Name:**

Excess Liability Program / 151-640-288

**Filing Company:**National Union Fire Insurance Company of  
Pittsburgh, Pa.**Project Name:**

Other Insurance Required by Contract

**Destruction Date:****Disposition Date:**

03/01/2024

**Effective Date (New):****Effective Date (Renewal):****Status:** \*

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**Comments:**

## Schedule Items

Item Type	Item Name	Item Status	Public Access
Form	148214, (2/24), Endorsement/Amendment/Conditions, OTHER INSURANCE REQUIRED BY CONTRACT		Yes
Rate	Excess Liability Rule, EP-MU-RU DIV (2/24)		Yes
Supporting Document	80 Filing Description/Cover Letter/NAIC Transmittal		Yes
Supporting Document	Form Listing		Yes

Sincerely,  
Erin Robling