



## NOTICE OF EFFECTIVE FILING

**TO:** Kathleen Ott  
**DATE:** February 24, 2022  
**FROM:** Andrea Connell  
**PHONE:** 718-250-1769

**PROGRAM:** Psychoanalysts Professional Liability Program

**CONTENTS:** Please refer to SharePoint for copies of the forms included in this filing.

**STATE:** **Alabama**

**EFFECTIVE DATE:** 2/24/22

**MODIFICATIONS:** None

**COMMENTS:** None

**COMPANY(IES) EFFECTIVE:**

☒ AMERICAN HOME ASSURANCE COMPANY

**FILING NUMBER: AIG-22-EO-01**

Disposition for AGNY-133117710

Filing at a Glance	
<b>State:</b> Alabama	<b>SERFF Tracking Number:</b> AGNY-133117710
<b>TOI:</b> 17.2 Other Liability-Claims Made Only	<b>State Tracking Number:</b>
<b>Sub-TOI:</b> 17.2010 Employment Practices Liability	<b>Company Tracking Number:</b> AIG-21-EPL-01
<b>Filing Type:</b> Form	<b>Product Name:</b> Employment Edge Program - 165-000-507/165-000-172/165-000-444/165-000-460/165-000-224
<b>First Filing Company:</b> Illinois National Insurance Co. ,...	<b>Project Name:</b> Application Revision & Various Endorsements
	<b>Destruction Date:</b>

**Disposition Date:** 01/28/2022  
**Effective Date (New):** 03/10/2022  
**Effective Date (Renewal):** 03/10/2022  
**Status:** \* Filed

Comments:

Schedule Items			
Item Type	Item Name	Item Status	Public Access
Supporting Document	Property and Casualty Certificate of Compliance	Filed	Yes
Supporting Document	side-by-side comparison	Filed	No
Supporting Document	Forms Listing	Filed	No
Form	106775, 10/21, Application/Binder/Enrollment, Employment Edge Application - Mainform	Filed	Yes
Form	106776, 10/21, Application/Binder/Enrollment, Employment Edge Application - Renewal	Filed	Yes
Form	123975, 4/17, Endorsement/Amendment/Conditions, Alternative Dispute Resolution Amended (Add Venue Location for ADR)	Filed	Yes
Form	120931, 3/16, Endorsement/Amendment/Conditions, Amended Named Entity Address	Filed	Yes
Form	132881, 6/19, Endorsement/Amendment/Conditions, Exclusion for Claims by Parent Company	Filed	Yes
Form	134226, 10/19, Endorsement/Amendment/Conditions, Panel Counsel Firm Amended Variable Rates	Filed	Yes
Form	141357, 8/21, Endorsement/Amendment/Conditions, Pay Equity Audit Endorsement EPL Edge	Filed	Yes
Form	132365, 3/19, Endorsement/Amendment/Conditions, Pending and Prior Litigation Exclusion Excess Limits	Filed	Yes
Form	120360, 8/15, Endorsement/Amendment/Conditions, Retention Amended (Specific State)	Filed	Yes
Form	139918, 1/21, Endorsement/Amendment/Conditions, Retention Amended Multiple Plaintiff Claims	Filed	Yes
Form	134809, 9/21, Endorsement/Amendment/Conditions, Separate Retention for CA & NY Claims	Filed	Yes
Form	139018, 9/21, Endorsement/Amendment/Conditions, Separate Retention for CA, NY & NJ Claims	Filed	Yes
Form	132548, 4/19, Endorsement/Amendment/Conditions, Separate Retention for Claims by Highly Compensated Employees	Filed	Yes
Form	131877, 2/19, Endorsement/Amendment/Conditions, Sexual Assault & Child Abuse Exclusion - Third Party Claims Portion of Language	Filed	Yes
Form	134958, 12/19, Endorsement/Amendment/Conditions, Sexual Assault & Child Abuse Exclusion - Third Party Claims	Filed	Yes
Form	133665, 8/19, Endorsement/Amendment/Conditions, Sexual Misconduct & Child Abuse Exclusion	Filed	Yes
Form	124265, 5/17, Endorsement/Amendment/Conditions, Subrogation Amended (Rights Limited to Insolvency for Payment on Behalf of Individual)	Filed	Yes

Form	132364, 3/19, Endorsement/Amendment/Conditions, Subsidiary - Addition to the Definition of Subsidiary No AP	Filed	Yes
Form	132527, 4/19, Endorsement/Amendment/Conditions, Third Party Accessibility Claim Exclusion - Real Estate	Filed	Yes
Form	132526, 4/19, Endorsement/Amendment/Conditions, Third Party Violation Amended Exception for Discrimination in Professional Services	Filed	Yes

Sincerely,  
Erick Wright



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Alabama





















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**Product Name:** Employment Edge Program - 165-000-507/165-000-172/165-000-444/165-000-460/165-000-224  
**TOI:** 17.2 Other Liability-Claims Made Only  
**Sub-TOI:** 17.2010 Employment Practices Liability  
**Filing Type:** Form  
**Effective Date Requested (New):** 03/10/2022  
**Effective Date Requested (Renewal):** 03/10/2022

**SERFF Tr Num:** AGNY-133117710  
**State Tr Num:**  
**Co Tr Num:** AIG-21-EPL-01  
**Date Submitted:** 01/27/2022  
**Authors:** Andrea Connell



**SERFF Status:** Closed-Filed  
**State Status:** Filed  
**Co Status:**  
**Disposition Date:** 01/28/2022

General Information		Form Schedule	Rate/Rule Schedule	Supporting Documentation	State Specific	Companies and Contact	Filing Fees	Filing Correspondence				
Form Count: 20												
Item No.		Schedule Item Status	Form Name *	Form Number	Edition Date	Form Type *	Action *	Action Specific Data		Readability Score	Attachments	Submitted
1		Filed 01/28/2022	Employment Edge Application - Mainform	106775	10/21	ABE	Replaced	Previous Filing #	CHS-10-EPL-03		 <a href="#">Employment Edge - Application - Mainform - Countrywide Revised 106775 (10-21).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
								Replaced Form #	<a href="#">106775</a>			
								Replaced Edition Date	8/10			
2		Filed 01/28/2022	Employment Edge Application - Renewal	106776	10/21	ABE	Replaced	Previous Filing #	CHS-10-EPL-03		 <a href="#">Employment Edge - Application - Renewal - Countrywide Revised 106776 (10-21).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
								Replaced Form #	<a href="#">106776</a>			
								Replaced Edition Date	8/10			
3		Filed 01/28/2022	Alternative Dispute Resolution Amended (Add Venue Location for ADR)	123975	4/17	END	New				 <a href="#">ALTERNATIVE DISPUTE RESOLUTION AMENDED 123975(4-17).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
4		Filed 01/28/2022	Amended Named Entity Address	120931	3/16	END	New				 <a href="#">AMENDED NAMED ENTITY ADDRESS 120931(3-16).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
5		Filed 01/28/2022	Exclusion for Claims by Parent Company	132881	6/19	END	New				 <a href="#">EXCLUSION FOR CLAIMS BY PARENT COMPANY 132881(6-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
6		Filed 01/28/2022	Panel Counsel Firm Amended Variable Rates	134226	10/19	END	New				 <a href="#">PANEL COUNSEL FIRM LIST AMENDED VARIABLE RATES 134226(10-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
7		Filed 01/28/2022	Pay Equity Audit Endorsement EPL Edge	141357	8/21	END	New				 <a href="#">PAY EQUITY AUDIT ENDORSEMENT EPL EDGE 141357(8-21).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
8		Filed 01/28/2022	Pending and Prior Litigation Exclusion Excess Limits	132365	3/19	END	New				 <a href="#">PENDING AND PRIOR LITIGATION EXCLUSION EXCESS LIMITS 132365(3-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
9		Filed 01/28/2022	Retention Amended (Specific State)	120360	8/15	END	New				 <a href="#">RETENTION AMENDED (SPECIFIC STATE) 120360(8-15).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
10		Filed 01/28/2022	Retention Amended Multiple Plaintiff Claims	139918	1/21	END	New				 <a href="#">RETENTION AMENDED MULTIPLE PLAINTIFF CLAIMS 139918(1-21).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell

11		Filed 01/28/2022	Separate Retention for CA & NY Claims	134809	9/21	END	New	 <a href="#">SEPARATE RETENTION FOR CALIFORNIA AND NEW YORK CLAIMS 134809(09-21).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
12		Filed 01/28/2022	Separate Retention for CA, NY & NJ Claims	139018	9/21	END	New	 <a href="#">SEPARATE RETENTION FOR CALIFORNIA, NEW YORK AND NEW JERSEY CLAIMS 139018(09-21).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
13		Filed 01/28/2022	Separate Retention for Claims by Highly Compensated Employees	132548	4/19	END	New	 <a href="#">SEPARATE RETENTION FOR CLAIMS BY HIGHLY COMPENSATED EMPLOYEES 132548(4-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
14		Filed 01/28/2022	Sexual Assault & Child Abuse Exclusion - Third Party Claims Portion of Language	131877	2/19	END	New	 <a href="#">SEXUAL ASSAULT AND CHILD ABUSE EXCLUSION - THIRD PARTY CLAIMS PORTION OF LANGUAGE 131877(2-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
15		Filed 01/28/2022	Sexual Assault & Child Abuse Exclusion - Third Party Claims	134958	12/19	END	New	 <a href="#">SEXUAL ASSAULT AND CHILD ABUSE EXCLUSION - THIRD PARTY CLAIMS 134958(12-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
16		Filed 01/28/2022	Sexual Misconduct & Child Abuse Exclusion	133665	8/19	END	New	 <a href="#">SEXUAL MISCONDUCT AND CHILD ABUSE EXCLUSION 133665(8-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
17		Filed 01/28/2022	Subrogation Amended (Rights Limited to Insolvency for Payment on Behalf of Individual)	124265	5/17	END	New	 <a href="#">SUBROGATION AMENDED (RIGHTS LIMITED TO INSOLVENCY FOR PAYMENT ON BEHALF OF INDIVIDUAL) 124265.pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
18		Filed 01/28/2022	Subsidiary - Addition to the Definition of Subsidiary No AP	132364	3/19	END	New	 <a href="#">SUBSIDIARY - ADDITION TO THE DEFINITION OF SUBSIDIARY NO AP 132364(3-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
19		Filed 01/28/2022	Third Party Accessibility Claim Exclusion - Real Estate	132527	4/19	END	New	 <a href="#">THIRD PARTY ACCESSIBILITY CLAIM EXCLUSION - REAL ESTATE 132527(4-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell
20		Filed 01/28/2022	Third Party Violation Amended Exception for Discrimination in Professional Services	132526	4/19	END	New	 <a href="#">THIRD-PARTY VIOLATION AMENDED EXCEP. FOR DISCRIMINATION IN PROF. SERVICES 132526(4-19).pdf</a>	Date Submitted: 01/27/2022 By: Andrea Connell

Form Type Legend:

- **ADV** = Advertising
  - **BND** = Bond
  - **CER** = Certificate
  - **DSC** = Disclosure/Notice
  - **END** = Endorsement/Amendment/Conditions
  - **PCF** = Policy/Coverage Form
- **ABE** = Application/Binder/Enrollment
  - **CNR** = Canc/NonRen Notice
  - **DEC** = Declarations/Schedule
  - **ERS** = Election/Rejection/Supplemental Applications
  - **OTH** = Other

Icon Legend:  - Draft Schedule Item  - Open Objection

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